IPW



**PATENT** 5760-19800

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re A                | Application of: §   | § Group Art Unit: 2171   |  |  |  |  |  |  |
|------------------------|---|--|--|--|--|--|--|--|
|                        | John A Muth and Thomas W. Lanzatella§   | Examiner: Unknown  |  |  |  |  |  |  |
|                        | \ \{\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\  | Atty. Dkt. No. 5760-19800  |  |  |  |  |  |  |
| Serial No.: 10/809,207 |   | Lhanka adif aha dia amanadana is baisa danaisad  |  |  |  |  |  |  |
| Filed:                 | March 25, 2004 § §  | I hereby certify that this correspondence is being depo-<br>with the U.S. Postal Service as First Class Mail in an enve<br>addressed to: Commissioner for Patents, P.O. Box 1<br>Alexandria, VA 22313-1450, on the date indicated below: |  |  |  |  |  |  |
| For:                   | EXPIRATION OF ACCESS TOKENS §   | Robert C. Kowert   |  |  |  |  |  |  |
|                        | FOR QUIESCING A DISTRIBUTED § SYSTEM §  |  |  |  |  |  |  |  |
|                        | SYSTEM §  | Date Signature   |  |  |  |  |  |  |
|                        | §   |  |  |  |  |  |  |  |
|                        | \$<br>\$  |  |  |  |  |  |  |  |
|                        | _   |  |  |  |  |  |  |  |
|                        | INFORMATION DISCL   | OSURE STATEMENT  |  |  |  |  |  |  |
| P.O. E                 | nissioner for Patents  Box 1450   |  |  |  |  |  |  |  |
| Alexa                  | ndria, VA 22313-1450  |  |  |  |  |  |  |  |
| Sir:                   | ·   |  |  |  |  |  |  |  |
|                        | Applicant requests consideration of  the  | e references listed on the attached Form PTO-  |  |  |  |  |  |  |
| 1449 a                 | and/or [] the additional information identif  | ied below in paragraph 3.  |  |  |  |  |  |  |
|                        | Pursuant to CFR §1.98(a)(2)(i), co  | pies of the cited U.S. patents and/or U.S. patent  |  |  |  |  |  |  |
|                        | application publications are not en  A copy of each non-U.S. patent an  | closed. d/or non-U.S. patent application publication   |  |  |  |  |  |  |
|                        | reference listed on the Form PTO-   |  |  |  |  |  |  |  |
|                        | The non-U.S. patent and/or non-U.S. patent application publication references listed on the Form PTO-1449 were previously cited in application serial no. |  |  |  |  |  |  |  |
|                        |   | oned application is seeking priority under 35  |  |  |  |  |  |  |
|                        | U.S.C. §120; therefore, a copy of e   | each reference is not enclosed.  |  |  |  |  |  |  |
| 1.                     | This Information Disclosure Statement is submitted:   |  |  |  |  |  |  |  |
|                        | a. within 3 months of the filing date of a national application other that continued prosecution application under § 1.53(d);                             |  |  |  |  |  |  |  |
|                        |   | e of entry of the national stage as set forth in §   |  |  |  |  |  |  |
|                        | 1.491 in an International ar  | polication:  |  |  |  |  |  |  |

|  |    |  | $\boxtimes$   | before the mailing date of a first Office Action on the merits; or  |  |  |  |
|--|----|--|---|---|--|--|--|
|  |    |  |   | before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.  |  |  |  |
|  |    | b.   |   | after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus:   the certification of paragraph 2 below is provided, or a fee of \$180.00 is enclosed.               |  |  |  |
|  |    | c.   |   | after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.                       |  |  |  |
|  | 2. | It is he   | hereby certified:   |   |  |  |  |
|  |    |  | was ci  | each item of information contained in this Information Disclosure Statement cited in a communication from a foreign patent office in a counterpart foreign cation not more than three months prior to the filing of the Statement, or |  |  |  |
|  |    |  | that no item of information contained in the Information Disclosure Statemen was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the Statement. |   |  |  |  |
|  | 3. |  | Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:  |   |  |  |  |
|  | 4. | English language reference listed on the attached Form PTO-1449: |   |   |  |  |  |
|  |    |  | refere  | nce is made to an English language translation submitted herewith, and/or   |  |  |  |
|  |    |  |   | nce is made to a foreign patent office search report (in the English language) tted herewith, and/or  |  |  |  |
|  |    |  |   | nce is made to an English language translation of a foreign patent office report submitted herewith, and/or   |  |  |  |
|  |    |  |   | nce is made to the concise explanation contained in the specification of the tapplication at page(s), and/or  |  |  |  |
|  |    |  | refere  | nce is made to the concise explanation set forth below:   |  |  |  |
|  | 5. |  | Applic  | cant also offers the following comments for the Examiner's consideration:   |  |  |  |
|  | 6. |  | Also enclosed is a copy of a foreign search report citing these references.   |   |  |  |  |

- 7. The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.
- 8. Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 50-1505/5760-19800/RCK.

Respectfully submitted,

Robert C. Kowert Reg. No. 39,255

Attorney for Applicant(s)

MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C.

P. O. Box 398 Austin, Texas 78767

(512) 853-8800

Date: Aug. 16, 2006

| Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement |   |                                | ATTY. DOCKET NO: 5760-19800  OVPE  AUG 1:8 2006 |                                       | SER                    | SERIAL NO: 10/809,207 |                               |  |  |  |
|--|---|--------------------------------|---|---------------------------------------|------------------------|-----------------------|-------------------------------|--|--|--|
| (Use s   | everal  | I sheets if necessary)         | APPLICANT: Muth et al.                          |                                       |                        |                       |                               |  |  |  |
|  |   |                                | FILING DATE: March 25, 2004                     |                                       |                        | GROUP: 2171           |                               |  |  |  |
|  |   | T.I.                           |   | DOCUMENTS                             |                        |                       | -                             |  |  |  |
| EXAM.<br>INITIALS  | REF.<br>DES   | DOCUMENT<br>NUMBER             | DATE  | NAME                                  | CLASS                  | SUB<br>CLASS          | FILING DATE IF<br>APPROPRIATE |  |  |  |
|  |   | 6,374,402                      | 4/16/02   | Schmeidler et al.                     |                        |                       |                               |  |  |  |
|  |   | 6,564,215                      | 5/13/03   | Hsiao et al.                          |                        |                       |                               |  |  |  |
|  |   | 2003/0200202                   | 4/23/02   | Hsiao et al.                          | 1                      |                       |                               |  |  |  |
|  |   |                                |   | · · · · · · · · · · · · · · · · · · · |                        |                       |                               |  |  |  |
|  |   |                                |   |                                       |                        |                       |                               |  |  |  |
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|  |   |                                |   |                                       |                        | <u> </u>              |                               |  |  |  |
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|  |   |                                |   |                                       |                        |                       |                               |  |  |  |
|  | <u> </u>  | FOR                            | EIGN PATE                                       | NT DOCUMENTS                          | •                      |                       |                               |  |  |  |
| EXAM.<br>INITIALS  | REF.<br>DES   | DOCUMENT                       | DATE  | COUNTRY                               | CLASS                  | SUB<br>CLASS          | TRANSLATION.<br>YES/NO        |  |  |  |
|  |   |                                |   |                                       |                        |                       |                               |  |  |  |
|  |   |                                | •   |                                       |                        |                       |                               |  |  |  |
| •  |   |                                |   | r, Title, Date, Pertinent P           |                        |                       |                               |  |  |  |
|  | A1 Bhattacharya et al., "Coordinating Backup/Recovery and Data Consistency Between Database and File Systems," ACM SIGMOD'2002, June 4-6, 2002, (Pages 500-511) |                                |   |                                       |                        |                       |                               |  |  |  |
|  | A2  |                                |   | cation (HSQLDB/R)," Aug               |                        | 002, 10 pag           | ges.                          |  |  |  |
| EXAMINE  | r:  | 1                              |   | DATE CONSIDERED:                      |                        |                       |                               |  |  |  |
| EXAMINE  | : Initi   | al if citation considered, who | ether or not citation                           | on is in conformance with MI          | PEP 609; In to the par | Oraw line the         | rough citation                |  |  |  |